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TRANSMITTAL FORM

Application No.: 09/977,013
Filing Date: 12 October 2001
Inventor(s): Wang et al.
Group Art Unit: 2163
Examiner Name: Not yet assigned.
Attorney Docket No.: 15029-00002
Title: System and Method for Ameliorating
Subcontracting Risk

Assistant Commissioner for Patents
Washington, D.C. 20231

*Total Number of Pages in This Submission: 3
(not including references cited in any IDS)*

ENCLOSURES

- Fee Transmittal Form
- Fee Attached
- Amendment/Reply
- After Final
- Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement (with Form PTO-SB/08A and cited references)
- Certified Copy of Priority Document(s)
- Response to Missing Parts/Incomplete Application
- Response to Missing Parts under 37 CFR 1.52 or 1.53
- Assignment Papers
(for an Application)
- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s):
- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s):

The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§ 1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 50-1214. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-1214.

Date: March 13, 2002

By:

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INFORMATION DISCLOSURE STATEMENT

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Pursuant to the provisions of 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicants submit the documents listed on the attached Form PTO/SB/08A and enclosed herewith for consideration during the prosecution of the subject application. This document is being filed in accordance with 37 C.F.R. §§ 1.97(b) as it is being filed before the mailing of a first Office action on the merits. Accordingly, Applicants respectfully request that the enclosed documents be considered and made of record.

The above constitutes information that an Examiner may find material to the examination of the subject application; however, it does not negate the patentability of the subject invention. Furthermore, it is not intended that submission of this information be taken as a representation that a search has been made or that no information which is more material exists.

The Commissioner is hereby authorized to charge any fees which may be required or credit any overpayment with respect to this communication to Deposit Account No. 50-1214.

Date: March 13, 2002

50025359

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complaint if Known

Application Number	09/977,013
Filing Date	12 October 2001
First Named Inventor	Wang et al.
Art Unit	2163
Examiner Name	Not yet assigned.
Attorney Docket Number	15020-00002

Sheet 1 of 1

Sheet 1 of 1

15029-00002

U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

Examiner Signature	Date Considered	
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¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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